

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/520,495 Confirmation No. 9871  
Applicant(s) : COSTA, Fulvio et al.  
Filed : 01/05/2005  
TC/A.U. : 2812  
Examiner : To Be Assigned  
Title : Sol-Gel Process for the Preparation of Vitreous Films Possessing High Adhesion Properties and Stable Colloidal Solutions Suitable for its Carrying out the Same  
Docket No. : 033965.005  
Customer No. : 25461

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. 1.56, Applicants are enclosing an Information Disclosure Statement by Applicant (Form PTO/SB/08a) and copies of the documents cited therein. The relevance of the cited documents is indicated in the patent specification, filed January 5, 2005. U.S. Patent No. 6,562,408 is the equivalent of Italian Patent Application No. 98 A 000004, cited on page 3, line 24 of the patent specification.

It is respectfully requested that the cited documents be considered by the Examiner in the above-identified patent application and that the cited documents be made officially of record therein. It is further requested that a listing of the same appear on the face of any patent which may issue from this application.

A first Official Action has not yet issued for this application. Therefore, it is believed that no fees are due under 37 C.F.R. Section 1.97(b)(3).

Respectfully submitted,



By: Robert G. Weilacher, Reg. No. 20,531

Dated: June 13, 2005  
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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U. S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	10/520,495
Filing Date	01/05/2005
First Named Inventor	COSTA, Fulvio et al.
Art Unit	2812
Examiner Name	To Be Assigned
Attorney Docket Number	033965.005

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 6,562,408	05-13-2003	COSTA ET AL.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
						✓

Examiner  
Signature

/Nathan Empie/

Date  
Considered

08/01/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /N.E./



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				<i>Examiner Name</i>	To Be Assigned
				<i>Attorney Docket Number</i>	033965.005
Sheet	2	of	2		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		HOLMES, A. S. ET AL., "Fabrication of buried channel waveguides on silicon substrates using spin-on glass," Applied Optics, September 1, 1993, Pages 4916-4921, Vol. 32, No. 25, Optical Society of America, Washington, D.C.	
		ALMEIDA, RUI M., "Sol-gel silica films on silicon substrates," International Journal of Optoelectronics, 1994, Pages 135-142, Vol. 9, No. 2, Taylor & Francis Ltd., United Kingdom	

Examiner Signature	/Nathan Empie/	Date Considered	08/01/2008
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